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博 士 学 位 论 文

前苏东国家私立高等教育研究

——以俄罗斯、匈牙利、波兰、捷克、罗马尼亚为个案

**Research on Private Higher Education in
the Countries of Former Soviet and Eastern Europe :Taking
Russia, Hungary, Poland , Czech and Romania as the Cases**

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摘 要

20 世纪 80 年代末,在前苏东大地上,政治转型拉开了私立高等教育兴起的序幕,向高等教育研究者呈现了一个崭新而又充满挑战的研究领域。论文选取了五个具有代表意义的国家——俄罗斯、匈牙利、波兰、捷克、罗马尼亚,从政治经济的视角,以私立高等教育政策为主线对前苏东国家的私立高等教育进行了研究。

论文由三部分组成:第一,介绍前苏东国家的政治经济转型概况,以及前苏东国家高等教育改革概况,力图展示前苏东国家私立高等教育所处的国内背景和国际背景,侧重于整体性把握和思考,为接下来的深度个案研究提供必要的铺垫。第二,深度个案研究。着力对国别私立高等教育进行描述和分析。该部分一般以高等教育改革、私立高等教育政策环境和私立高等教育发展概况展开。第三,在前两部分基础上,着力对前苏东五国的私立高等教育实践进行比较与思考。

统一和多样并存,这是转型比较政治经济研究中得出的醒目结论,也可以用来概括前苏东国家的私立高等教育实践。共同的社会主义事业体验以及共同的转型方向,这是前苏东国家私立高等教育可比较的前提。但从具体实践来看,前苏东国家的私立高等教育呈现出五光十色的图景。即使是在经济和政治条件大体相当的波兰、捷克和匈牙利,其私立高等教育也是异彩纷呈。通过对五国私立高等教育的个案研究,论文揭示了前苏东国家私立高等教育的某些侧面或某些图景。这些侧面或图景尽管无法完全涵盖前苏东国家的私立高等教育实践,但可以从中窥视前苏东国家私立高等教育的一般态势。

从苏联教育模式到私立高等教育的兴起,其间经历了重大的转变:从单一性走向多样性,从封闭性走向开放性,从公益性走向产业性。从中可以看出,教育是一种弹性很大的人类活动,一直是处于不断生成和发展之中,其属性也在不断地暴露当中。在转型的特殊时期,前苏东国家面临着国内转轨和国际接轨双重任务,具体体现为自上而下的政策设计和政策推进过程。私立高等教育作为社会有机组成部分,很大程度上受制于这种政策推进过程。相比起经济和文化因素的影响,政治因素对私立高等教育要远远大得多。政府的态度及其决策很大程度上决

定了私立高等教育的兴衰与走向。此外，五国私立高等教育的发展都受到了来自公立高等教育的挤压。这种挤压体现在公立高等教育机构在公费生之外招收自费生，以及公立高等教育机构多元创收的市场化行为。此外，五国无一例外都面临着人口减少的危机。今后前苏东五国私立高等教育的走势取决于高等教育服务市场和政府态度的合力作用。

关键词：转型；私立高等教育；政策

Abstract

In the end of 1980's, along with political transition, private higher education rises in former Soviet and Eastern European countries, which presents a new field full of challenges for research workers of higher education. Selecting five representative countries, i.e. Russia, Hungary, Poland, Czech and Romania as cases, and taking private higher education policy as axis, this dissertation try to study the private higher education in former Soviet and Eastern European countries from the visual angle of politics and economics.

This dissertation is composed of three parts: firstly, a survey of political and economical transition in former Soviet and Eastern European countries. This part emphasizes particularly on overall reflection, to lay out the background of private higher education and to provide neccessary matting for cases study. Secondly, cases study. This part describes and analyses private higher education in the five selected countries, which comprises reform of higher education, policy environment of private higher education and survey of private higher education. Thirdly, on the basis of the former two parts, this part compares and reflects on the practice of private higher education in the five selected countries.

Coexistence of unification and diversity is a marked conclusion of comparative study of transitional politics and economics, which can also be used to conclude the practice of private higher education in former Soviet and Eastern European countries. The common socialism experience and transitional direction is the premise of comparability of private higher education in these countries, but concrete practice of private higher education presents colorful pictures. Even in the countries with similar political and economical condition, such as Hungary, Poland and Czech, private higher education is also different. Through cases study, this dissertation reveals some profiles of private higher education in former Soviet and Eastern European countries. Although these profiles can not cover the practice of private higher education in former Soviet and Eastern European countries, from which we know the general situation of private higher education in these countries.

From soviet higher education to private higher education, during which there is transit: from oneness to diversity, from blockage to opening, from commonweal to

industry. Education is an flexible human activity, which is always in the course of growing and developing , and education attributes are always in the course of revealing. In the special period of transition, former Soviet and Eastern European countries are facing double tasks, to changeover and to meet the international criteria, which is a superincumbent course of policy design and policy implement. For education, comparing with the influence of economical and cultural factors, the influence of political factor is greater by far. Government attitude and policy decide the situation of private higher education. In additon, private higher education in five selected countries all suffer extrusion from public higher education, such as enrolling fee-paying students on the basis of free-paying students, and diversifying income in education market. The future development of private higher education in five countries depends on the higher education service market as well as government attitude.

key Words: the former Soviet and Eastern European countries; transition; private higher education; policy

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